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- First clinical results of a personalized immunotherapeutic vaccine
- against recurrent, incompletely resected, treatment-resistant
- glioblastoma multiforme (GBM) tumors, based on combined allo- and
- auto-immune tumor reactivity
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ABSTRACT

Glioblastoma multiforme (GBM) patients have a poor prognosis. After tumor recurrence statistics suggest an imminent death within 1–4.5 months. Supportive preclinical data, from a rat model, provided the rational for a prototype clinical vaccine preparation, named Gliovac (or ERC 1671) composed of autologous antigens, derived from the patient's surgically removed tumor tissue, which is administered together with allogeneic antigens from glioma tissue resected from other GBM patients. We now report the first results of the Gliovac treatment for treatment-resistant GBM patients.

Nine (9) recurrent GBM patients, after standard of care treatment, including surgery radio- and chemotherapy temozolomide, and for US patients, also bevacizumab (AvastinTM), were treated under a compassionate use/hospital exemption protocol. Gliovac was given intradermally, together with human GM-CSF (Leukine[®]), and preceded by a regimen of regulatory T cell-depleting, low-dose cyclophosphamide.

Gliovac administration in patients that have failed standard of care therapies showed minimal toxicity and enhanced overall survival (OS). Six-month (26 weeks) survival for the nine Gliovac patients was 100% versus 33% in control group. At week 40, the published overall survival was 10% if recurrent, reoperated patients were not treated. In the Gliovac treated group, the survival at 40 weeks was 77%. Our data suggest that Gliovac has low toxicity and a promising efficacy. A phase II trial has recently been initiated in recurrent, bevacizumab naïve GBM patients (NCT01903330).

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1. Introduction

Active immunotherapy against cancer represents an excit-34**06** ing treatment option, involving the stimulation of the patient's 35 immune system against tumor antigens. However, therapeutic

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immunization against the most malignant brain tumor – glioblas- Q7 37 toma multiforme (GBM) - is a formidable challenge. Although, brain parenchyma infiltrating CD8-positive T cells have been detected in these brain tumors [1,2] and even anecdotal rejection of gliomas following bacterial infection was reported [3], GBM, once established, normally evades immune detection. This is a result of decreased MHC antigen expression and active suppression of local and systemic immune reactions [4]. Apart from tumor-mediated 44 immune suppression the patient's immune reactivity is further

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suppressed by both high doses of iatrogenic chemotherapy [5] and corticosteroid treatment. All these factors tend to tilt the balance toward an immune suppressive state [6], as evidenced by significant leucopenia, a decrease in total CD4⁺ T cells and a functional increase in regulatory T cells.

Glioblastoma mutiforme (GBM) is the most common and most aggressive malignant brain tumor, with a very poor prognosis due to marginally effective standard therapy, involving tumordebulking surgery, followed by radiotherapy and chemotherapy. This cancer is very difficult to treat and most patients die after tumor recurrence within 12-16 months [7,8]. At the time of tumor recurrence, statistics suggest an imminent death with an average overall survival (OS) of 1–4.5 months [8], depending on the size of the tumor, the Karnofsky performance score (KPS) score, and the tumor localization. In the USA, bevacizumab (Avastin[®]), a blood vessel growth-inhibiting, anti-angiogenic antibody, is administered as second line of treatment [9], but is not approved by EU authorities. Once the tumor recurs on bevacizumab treatment it is universally fatal with survival times of less than a few weeks [8]. Consequently, novel therapies are highly demanded.

Successful post-operative immunotherapy enabling immune 66 67 recognition and destruction of residual or recurrent tumor cells would provide an enormous clinical value. Induction of a vaccine-68 induced immune response by adaptive immune lymphocytes 69 initially requires efficient presentation, by antigen presenting 70 cells, of tumor associated antigens (TAA) (referred to as signal 1) 71 together with co-stimulatory signals (called signal 2). Most TAAs 72 are inherently, poorly antigens and require an adjuvant to break 73 immunological tolerance following proper of induction immune 74 signal 2 [10]. Here we used recombinant granulocyte-macrophage 75 colony stimulating factor (GM-CSF) as an immunological adju-76 vant, which is able to facilitate both signals 1 and 2 in different 77 types of cancer vaccines [11]. GM-CSF supports dendritic cell (DC) 78 recruitment and development; hence enabling antigen uptake 79 and increasing antigen presentation. In addition, GM-CSF stimu-80 81 lates DC maturation, characterized by expression of co-stimulatory molecules (signal 2), facilitating antigen-presentation for T cells 82 [12]. This cytokine is commonly used to generate DCs for the use 83 in DC cancer vaccines [13]. GM-CSF's safe pharmacological use in 84 patients is well-established, which makes it attractive and feasible 85 86 for clinical use in general.

Preclinical efficacy of this immunotherapy approach in an 87 immunocompetent Lewis rat CNS-1 glioma model supported the 88 implementation of this treatment concept in compassionate use 89

for recurrent GBM patients. Here we describe our first clinical data for patients with a KPS score above 60, using this novel immunization approach, consisting of a combined administration of multiple allogeneic and autologous tumor-isolated antigens.

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2. Materials and methods

2.1. Treatment scheme

The Gliovac treatment is composed of six cycles of five intradermally administrated treatment doses (Fig. 1). Every dose is composed of both a cellular component and a lysate component, prepared from freshly, surgically removed, GBM tumor tissue, and stored in separate vials.

The cell vial contains 250 µl of a suspension of $1 \times 10^5 - 1 \times 10^6$ irradiated DNFB-modified tumor cells, and the lysate vial contains 250 µl of the equivalent of a lysate of $1 \times 10^5 - 1 \times 10^6$ irradiated DNFB-modified tumor cells. In the schedule (Fig. 1) the allogenic Gliovac A, B, and C product doses are prepared from three different glioblastoma tumor donors, while autologous Gliovac D dose is derived from the patient's tumor.

Gliovac treatment is administered together with GM-CSF (Leukine[®]) as adjuvant following the oral administration of a low dose of cyclophosphamide for 3 days (Endoxan[®]). The treatment scheme of two cycles is depicted in Fig. 1. The six treatment cycles were repeated every 28 days.

2.2. Vaccine production

The Gliovac product has been manufactured, under GMP approved aseptic conditions, from surgically removed GBM tissues. The tumor tissues were received and released by a tissue bank of human body material, after testing for absence of viral infections, including HIV, HBC, HCV, CMV, HTLV, and also Syphilis. After coding by a suitable anonymization procedure, they were sent in temperature-controlled conditions, to the GMP manufacturing site, immediately after the surgery. The cells were isolated by mechanical dissection and washed in Earl's balanced salt solution (EBSS) medium. Isolated cells were counted and haptenized with 1-fluoro 2,4-dinitrofluorobenzene (DNFB), to improve immunogenicty. The total amount of haptinized cells was collected and divided in two equal parts. One part of cells was preserved for freezing in a sucrose medium, one part was lysed by osmotic shock. Both, the solutions of the cells and the lysates were irradiated with 25 Gray of gamma



Fig. 1. Time line of Gliovac treatment administration. The tumor resection is considered as day 0 (D0). The Gliovac is administered in repeated cycles. Ten days after surgery, the patient receives low-dose cyclophosphamide (Cy) for three consecutive doses (day 10-12: D10-D12; purple arrows) in order to reduce immune inhibitory immune cells, such as regulatory T cells [14]. The first immunization with an allogeneic tumor antigen-preparation, in conjunction with GM-CSF, is given on day 15 (D15). Subsequent immunizations, given at a 3-4 day intervals, consist of the patient-derived autologous antigens, two distinct allogeneic antigen-preparations, and a final autologous antigen preparation - all in combination with GM-CSF. The patient is left in rest for 1 week and a new cycle (cycle 2) restart with cyclophosphamide for three consecutive doses from D38 followed by immunization Gliovac treatment. This treatment has been repeated for six cycles (For interpretation of the references to color in this figure legend, the Q12 reader is referred to the web version of this article).

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radiation to make the cells replication incompetent as a result of DNA damage. All preparations were stored at -80 °C.

131 2.3. Patient characteristics

Eligible adult patients, with histologically confirmed WHO grade 132 IV malignant glioma and documented treatment failure to standard 133 of care treatment (SOC), including surgery followed by concomi-134 135 tant chemotherapy plus radiotherapy with TMZ, and bevacizumab (AvastinTM) in second line of treatment for one of them. All the 136 patients presented a relapse of glioblastoma. Included patients are 137 patients with an operable tumor mass since the treatment is com-138 posed, in part, of autologous tumor cells and lysates. Patient surgery 139 was generally limited by the localization of the tumor (\leq 95% of the 140 total tumor mass). Primary end points collected for each individ-141 ual patient were toxicity, while secondary end points were median 142

overall survival (OS) and radiographic responses. A total of nine (9) patients, presenting a KPS score of >60, enrolled, four on a phase 0/I protocol at the Cliniques of South Luxembourg-Belgium, one on compassionate/single patient IND protocol at UC Irvine Medical Center, one from Vilnius Hospital (Lithuania), one from the University Hospital Saarland, Homburg (Germany), and two from the Foundation Center for Epilepsy and neurological Diseases (FIRE) (Colombia). Median age was 48 years, with five female and four male patients. The average KPS was 80 (60-100). In Europe, treatments were performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. US patients were treated under IRB-approved protocols. All patients (or their guardians; if applicable) signed an informed consent form prior to their inclusion, and each treatment was approved by the hospital's ethical committee. Patient demographics are shown in Table 1.

Table 1

Patient information. For each patient are indicated: age (gender), HLA (autologous), HLA of allogeneic donors (in bold overlapping HLA), number of cycles, efficacy of the treatment in terms of changes in tumor mass, if dead on week 40, toxicity observed (ERY, local erythema; HA, headache; NA, not applicable), overall survival (OS) from the relapse detection. UN. unavailable.

Patient number	Age (gender)	HLA autologous	Known HLA allogeneic received	Cycles received	Efficacy	Dead	Toxicity observed	OS from relapse
1	61 (F)	A 02/03 B 15/44	A 1/ 2/3 /24/26/32/33 B 7/8/18/35/37/40/ 44 /50/51	5 cycles	Tumor regression Stable 17 wk	Yes	ERY	28 w
		C 03/05	C 2/ 3 /6/7	4 cycles	Stable 28 wk		ERY + HA	>40 w
2	65 (M)	A 11/26 B 44/52	A 1/2/3/24/32/33 B	4 cycles	Stable		ERY + HA	>40 w
		C 14/12	C 2/3/4/5/6/7/ 12					
3	47 (M)	UN	A 2/24/26/32/33 B 7/35/37/40/44/50/51 C 2/3/4/6/7					
4	64 (F)	A 25/31 B 18/51	A 1/2/3/11/24/26 B 7/8/13/15/ 18 /35/ 37/40/44/52	5 cycles	Stable 30 wk		ERY	>40 w
		C 04/12	C 2/3/ 4 /5/6/7/ 12 /14					
5	50 (F)	UN	A 1/2/3/11/24/26/30	3 cycles	Stable 26 wk Disease progression	Yes	NA	35 w
			в 8/13/15/18/35/44/52 С 3/4/5/6/7/12/14					
6	52 (M)	UN	A 1/2/11/24	6 cycles	Tumor regression		NA	>40 w
			B 8/13/18/35/44 C 4/5/6/7					
7	57 (F)	A 03/68 B 27/35 C 04/07	A 1/2/ 3 /11/24 B 8/13/15/18/ 35 /44 C 3/ 4 /5/6/ 7 /	6 cycles	Stable		ERY	>40 w
0	20 (14)	A 02/24		C la	Challe		N14	. 10
δ	28 (M)	A 02/24	A 1/ 2 /3/11/26/29/30/68 B	6 cycles	Stable		NA	>40 W
		C 07/-	7/8/13/27/35/44/51/52 C 4/6/ 7 /12/14/16					
9	27 (F)	A 23/24 B 35/-	A 3/11/26/29/30/68 B 7/13/27/ 35 /44/51/52	6 cycles	Stable		NA	>40 w
		C 04/-	C 4 /6/7/12/14/16					

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59 2.4. Immunomodulators and potentiators

Cyclophosphamide (CY; CalBiochem, 239785) was given at 50 mg/dose.

Human-GM-CSF (Leukine[®]) was purchased as an *Escherichia coli* expression product from Bayer HealthCare Pharmaceuticals (Seat tle, WA, USA), and administered intradermally, with the vaccine, at
500 μg/dose diluted in 500 μl of water for injection (WFI).

166 2.5. Statistics

For software for the statistical analysis of the patient data was 167 GraphPad Prism 5.03 for Windows. Median Overall-survival (OS-168 time between recurrence and death) was determined. The impact 169 on OS of the treatment received (with or without GLIOVAC) was 170 analyzed. For the univariate analysis of potential prognostic fac-171 tors, time-to-event distributions of the patients were constructed 172 using Kaplan-Meier plots and P values were obtained using log-173 174 rank tests. Significance was set at *P* < 0.05.

175 **3. Results**

176 **3.1.** Rat model

177 In a syngeneic, immunocompetent Lewis rat CNS-1 model we noted complete tumor regression (six out of six animals) only 178 in the group of animals that received the vaccine (antigens from 179 syngeneic and allogeneic cells) in conjunction with GM-CSF and 180 cyclophosphamide (CY) pre-treatment (data not shown). In the 181 182 control groups, some delay in measurable tumor growth was observed, relative to the untreated control groups (zero out of six 183 animals showed tumor growth reduction). In the control groups, 184 receiving CY only (tumor growth delay was noted in three out of 185 six animals), in the CY plus GM-CSF group (one out of six animals 186 showed tumor growth delay), while in the CY plus vaccine group 187 three out of six animals showed growth delay. 188

189 3.2. Clinical findings

GBM patients have a very poor prognosis. Upon relapse, the overall survival depends of a multitude of factors, however, majority of the patients face imminent death after 1–4.5 months at best
[8].

Encouraging findings in the preclinical rat model provided 194 the rational and scientific basis to investigate the safety and 195 efficacy of this immunotherapeutic concept, named Gliovac (or 196 197 ERC1671), in individual GBM relapsing patients, with no remaining 198 treatment options, under compassionate use/hospital exemption conditions. Gliovac is an immunotherapy based on (allo)immune response triggering following non-syngeneic tumor antigen (cells 200 and lysates) injection/transplantation, reflecting the preclinical 201 approach described in CNS-1 Lewis rats. During each immuniza-202 tion cycle, the immune effector response is triggered by breaking 203 tolerance to the patient's tumor antigens upon administration 204 of allogeneic (non-self) DNFP-modified tumor antigens, at the 205 first injection (Gliovac A), and subsequent focusing of the trig-206 gered immune reaction toward the patient's tumor antigens, 207 upon administration of patient-derived autologous tumor antigens 208 (Gliovac D) (Fig. 1). This is followed by two additional (booster) 209 injections of allogeneic antigen preparations (Gliovac B and C) and 210 a final injection of Gliovac D. The immunizations are preceded by 211 a short regimen of low-dose, metronomic cyclophosphamide (CY) 212 [14], which depletes immune inhibitory immune cells. Each immu-213 nization with tumor antigens is accompanied by a co-injection of 214 GM-CSF [11,12]. 215

3.2.1. Patient selection

From January 2012 to July 2014, nine adult patients with recurrent glioblastoma were treated under Institutional review board (IRB)-approved protocols at the Clinique du Sud Luxembourg, Arlon, Belgium, University of California, Irvine, CA, USA, Universitäts Klinikum Homburg, UKS, Germany, from Vilnius Hospital (Lithuania) and the Foundation Center for Epilepsy and Neurological Diseases (FIRE) (Colombia). All these patients were previously treated with standard care, including surgery followed by concomitant radiotherapy and chemotherapy with TMZ, and for US patient, bevacizumab (AvastinTM) as a second line of treatment. All the patients presented with recurrent, treatment resistant tumors. Only patients with an operable tumor mass were included in this protocol, since the treatment is composed, in part, of autologous tumor cells and lysates. Patient surgery, however, was generally limited due to the localization of the tumor (< 95% of the total tumor mass).

Primary data collected were toxicity, while secondary endpoints were median overall survival (OS) and radiographic response.

3.2.2. Clinical safety

The most common toxicities observed were mild and transient: two out of nine patients developed grade 2 headaches, and four showed grade 2 local erythema at the injection site. The local skin reactions (induration, erythema and ulceration) are not surprising for a local immune reaction following intradermal administration [15]. In fact, these local reactions indicate the development of immune responses. The diameters of the observed erythema's were between 1 and 3 cm, which however, were not observed in all patients. Hence, no clear correlation between efficacy and erythema response can be concluded (as yet). Also, other observed mild systemic reactions, including self-limiting fever and chills, represent expected outcomes related to the intended immune stimulation [16]. The treatment did not trigger other serious adverse events.

3.2.3. Clinical efficacy – Radiology data

Magnetic resonance imaging (MRI) of the brain with and without contrast was used to evaluate the tumor response to treatment – using the RANO criteria [17]. Significant responses were seen on imaging – which, suggest that Gliovac/ERC-1671 shows efficacy within our clinical settings, as illustrated for two patients in more detail in the case report (Box 1 supplementary info), visible in Figs. 5A–C and 6, online only. Clear imaging results were also noted in the MRIs of most other patients (Figs. 2, 3, and 6). One patient showed multifocal GBM, with multiple tumors (Fig. 2, left panel), which all showed remarkable reduction after one treatment cycle (Fig. 2, right panel). Another patient showed a noteworthy reduction in tumor load, visible at the end of cycle 1 (Fig. 3, left panel), after the second treatment period (Fig. 3, right panel).

All the patients with a KPS of >60%, when treated with Gliovac, responded to the treatment by a stabilization of the tumor, and, at 40 weeks post recurrence a prolongation of survival for about 30 weeks (at 77% survival) was observed versus historic untreated control patients (10 weeks).

3.2.4. Clinical efficacy – Overall survival

Of the nine patients, all had complete follow-up (until week 40). Patients' mean age was 48 (range from 26 to 63) years. The mean Karnofsky performance score (KPS) 1 week after the surgery at the time of recurrence was 80% (range from 60% to 100%). Our data were compared to the published survival data of reoperated, untreated (KPS >60%) patients receiving standard care [18].

The rates of overall survival (OS) achieved by Gliovac/ERC1671 treatment are significantly increased (p = 0.0001), relative to those reported historically for patients after surgery for recurrent GBM

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Fig. 2. MRI scans of a patient made on 31 May 2012 (left, pretreatment), versus June 19, 2012 (right) following one treatment cycle (MRI scan, coronal view; end 1st cycle). Arrows indicate the locations of tumor tissue contrast staining.

in a recent retrospective analysis published by Barker et al. [18] 279 280 (Fig. 4). Fig. 4 shows the comparison between Gliovac treated patients (n = 9; solid line) and control patients (n = 39; dashed line), 281 both with KPS scores ranging between 60% and 100%. Data were 282 recorded until week 40 after reoperation. Six-month (26 weeks) 283 survival for the nine Gliovac patients was 100% versus 33% in con-284 trol group. At week 40, the published overall survival was 10% if 285

(12Feb 20, 2013)

patients were not treated. In the Gliovac treated group, the survival at 40 weeks was 77%. The statistic analysis clearly indicates a significant effect of Gliovac on the survival of recurrent patient (*p* < 0.0001).

All patients with a KPS score between 60 and 100, treated with Gliovac/ERC 1671 were still alive at week 28 (about 7 months) (Fig. 4, solid line). One patient showed after three cycles of

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Fig. 3. MRI scans of a patient made on February 12, 2013 (left) versus April 04, 2013 (right), following one additional treatment cycle (MRI scan, coronal [top] view and sagittal view [bottom]).

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Fig. 4. Overall survival of patients treated with Gliovac (n=9; solid line) versus overall survival of published control patients (dashed line). Data of control patients are extracted from Fig. 3A of the publication [18].

vaccination complete tumor regression, which was observed after
an average of 8 (4–12) weeks. It should be noted that for most of
the recurrent Gliovac/ERC1671 patients included in this report the
surgery achieved only limited, subtotal resection, due to the vital
brain area and critical location of the tumor, which is a negative
prognostic factor relative to complete resection [19].

For one patient, further histological analysis of residual tumor biopsies showed that the Gliovac treatment corresponds with infiltration of activated macrophages (CD68-positive), CD4+ and CD8+ T cells, and strongly reduced viable tumor growth (Ki67 staining; data not shown) [20]. These observations sustain the efficacy of immune effector response-induction and local immune infiltration in the tumor bed.

Those first results in man are highly encouraging, despite the 306 late stage of disease, the resistance to standard therapeutic treat-307 308 ment, and the incomplete tumor resection by surgery. However, future clinical trials require strict selection criteria, limiting the 309 extent of disease to patients who do not have multifocal or lep-310 tomeningeal disease [8,21]. Potential improvements should also 311 312 address the timing of Gliovac administration after the initial diagnosis, e.g., treatment before immunosuppressive chemotherapy. 313

314 3.3. Conclusive interpretation of clinical results

Current data suggest that even in advanced stages of disease, 315 the Gliovac treatment increases overall survival of recurrent, treat-316 ment resistant GBM patients. These encouraging clinical case study 317 results, from relapsing GBM patients in a compassionate use pro-318 gram, provided support to FDA authorities (FDA) to approve the 319 investigation of the product in a phase II, randomized, double 320 blinded clinical trial, comparing the product's safety and efficacy 321 in combination with bevacizumab with bevacizumab in combi-322 nation with placebo treatment in GBM patients who have failed 323 temozolomide. 324

4. Discussion

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The present study shows that Gliovac (or ERC 1671) immunotherapy is safe and potentially effective in treatmentresistant GBM patients. At 40 weeks post recurrence this approach prolonged the observed 77% survival among relapsing glioblastoma patients with an increase in survival of about 5-month (30 weeks) relative to historic controls (10 weeks) [18].

Our clinical protocol has been designed based on supportive proof-of-concept data observed in a CNS-1 glioma model in Lewis rats. In the rat model we observed tumor regression, visible as a reduction in tumor growth rate after about 2 weeks of initiation of immunotherapy, using allogenic and syngeneic antigens from glioma cell lines, when administered together with GM-CSF as immunological adjuvant, eventually resulting in non-detectable tumor volumes. This anti-tumor response resulted in immunological memory, since the majority of animals that controlled the first tumor, also rejected a secondary tumor without noticeable tumor growth. All animals were pretreated with a low-dose CY in order to deplete the immunosuppressive regulatory T cells [22].

The rationale of the Gliovac prototype vaccine is to evoke oligoclonal, partly allo-specific, immune induction, using a broad set of tumor antigens, derived from freshly resected whole tumor tissue. This will reduce the chance of immune escape, which is more likely to occur when using a single-antigen-targeted immunotherapy. The vaccine is composed of autologous antigens, derived from the patient's surgically removed tumor tissue, which is administered in conjunction with antigens from glioma tumor tissue that was surgically removed from allogenic donor patients. This allogenic tumor material provides an additional source of antigens that can be stored in a tissue bank for "off-the shelf" use. The allogenic TAAs may display partial HLA-matching with the patient. The mismatching HLA molecules serve to trigger and enhance an alloimmune response. In this first in man study, partial HLA mismatch information is available (Table 1), but the role of HLA mismatches in effectiveness will have to be evaluated in a stringent clinical trial currently ongoing (NCT01903330). Relevant unique or shared TAAs overexpressed by tumor cells are present among thousands of irrelevant immunotolerant non-tumor associated antigens. A multivalent vaccine will prevent or minimize escape of residual tumor cells, due to antigenic loss, or active MHC down-regulation. In addition, a tumor antigen mixture is preferred above monovalent synthetic peptides, because of their restricted use in patients with defined HLA types only.

We used GM-CSF as an immune adjuvant, which is known to augment immune responses against protein of peptide based vaccines [23], as well as to tumor cell vaccines genetically engineered to secrete GM-CSF [24]. This cytokine has been used as a hematopoietic growth factor in patients undergoing chemotherapy, and is well-tolerated [25]. When administered in the skin it recruits and activates antigen-presenting cells, including epidermal Langerhans cells [26] Moreover, GM-CSF showed positive effects relative to other cytokines, in preclinical rat and mouse glioma vaccine studies [27,28].

Immunological protection against gliomas has been ascribed to cell-mediated immune reactions involving cytolytic CD8⁺ T lymphocytes [29]. Depletion of these cells has demonstrated their critical role in vaccine-mediated antitumor immunity [30]. These observations are in line with the histological results from sequentially taken tumor autopsy specimens. Biopsy specimens of a Gliovac treated patient showed local immune infiltration in the tumor bed, consisting of abundant activated macrophages (CD68), as well as CD4 and CD8 T cells. This immunohistological staining was associated with a strongly reduced viable tumor growth index, as evidenced by reduced Ki67 positive cells [20]. Although, in general, tumor-specific immune response monitoring and a clear relationship with clinical outcome has proven difficult for tumor vaccines, it will be of interest to investigate in detail the contribution of particular lymphocyte populations to protective antitumor efficacy of Gliovac - for example, by monitoring of the number and function of both peripheral blood regulatory T cells and interferon- γ -producing CD8 T cells specific for prototype glioma antigens.

Clinical studies using cell-based vaccination, employing a broad set of tumor antigens, have been carried out before. Some used autologous cells, e.g., M-vax [31], or allogeneic cells, e.g., Canvaxin [32], or autologous lysates, e.g., oxidized tumor cell lysate (OC-L), or allogeneic lysates, such as Melacine [33]. Although safe, in phase I and II clinical trials, these products failed to provide convincing statistical evidence of positive immunological and clinical outcome. The innovative aspect of the Gliovac is to combine all elements

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(autologous and allogeneic, cells and lysates) in order to trigger 404 strong polyclonal immune reactions. Autologous components con-405 tain patient-specific antigen, while allogeneic components are able 406 to induce an allo-immune reaction. This strategy enables trigg-407 ering of an immune response against a broad array of tumor 408 antigens, including tolerance breaking allo-immune reactivity, -a 400 classical allograft-directed immune response-, typical for non-410 matching major histocompatibility between the injected graft cells 411 and antigens and the host. The allogenic part of the Gliovac treat-412 ment contains antigens from GBM tumors from allogeneic donor 413 patients, that overlap with specific tumor antigens in the patient. 414

The observed safety and promising clinical results of Gliovac in 415 the compassionate use program, lead the US authorities (FDA) to 416 approve the development of a phase II clinical trial registered under 417 number (NCT01903330), which is currently enrolling patients. 418

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Conflict of interest 423

Only authors affiliated to ERC received financial support, either 424 as personal consulting fees, employment, shares, or honoraria. 42

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Appendix A. Supplementary data 432

Supplementary data associated with this article can be 433 434 found, in the online version, at http://dx.doi.org/10.1016/j.vaccine. 2015.03.095. 435

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