

## ERC-1671/GLIOVAC: TGA SPECIAL ACCESS SCHEME CATEGORY A REQUEST FORM

### Purpose of this document:

- This document is to request individual patient access to ERC-1671/GLIOVAC under Category A of the TGA Special Access Scheme. Category A is a notification pathway that may be accessed by a prescribing medical practitioner or by a health practitioner on behalf of a prescribing medical practitioner;
- Eligible physician information is required for consideration of an individual patient request;
- Patient information is required for clinical justification and review of patient eligibility based upon criteria established for the ERC-1671/GLIOVAC Special Access Scheme program;
- Necessary information will be gathered from the questions below;
- The following information is for review purposes only and will be kept confidential in accordance with ERC privacy policy.

### Primary Eligibility Criteria:

Special Access Scheme requests are limited to patients with cancers where there is clinical data to suggest that it is safe to administer ERC-1671/GLIOVAC and the potential for clinical benefit exists. ERC-1671/GLIOVAC has not been approved by the Therapeutic Goods Administration (TGA) to treat any disease. Special Access Scheme requests are limited to adult patients with a confirmed diagnosis of glioblastoma or gliosarcoma (WHO grade IV glioma), who have failed radiation, temozolomide and other standard of care therapies.

Meeting primary eligibility criteria is not a guarantee of approval. All requests will be evaluated on a case by case basis.

### Cost of Participation:

Special Access Scheme permits ERC to recover reasonable direct manufacturing, storage and handling of investigational products made available for the Special Access Scheme. Similarly, ERC may charge patients for fees paid to third parties who support this program. Patients and/or their third-party payer (for e.g. insurance) will be billed for these costs.

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| GENERAL INFORMATION:                           |  |
|--|--|
| Patient Initials:                              |  |
| Age (years):                                   | Weight (kg):                                   |
| Height (cm):                                   | Body Surface Area (m <sup>2</sup> ):           |
| Pregnancy Status (in case of female patients): | Lactation Status (in case of female patients): |

| INFORMATION RELATED TO CURRENT MEDICAL CONDITION:           |                          |
|---|--------------------------|
| Diagnosis:  | Date of Diagnosis:       |
| # of relapses:  |                          |
| Stage of Cancer (if applicable):                            | ECOG Performance Status: |
|   |                          |
| Metastatic Sites (if applicable):                           |                          |
| Histology:  |                          |
| Clinical Justification for Treatment with ERC-1671/Gliovac: |                          |
|   |                          |

| PRIOR Treatments:                  |                                |  |  |                  |                |          |
|------------------------------------|--------------------------------|--|--|------------------|----------------|----------|
| Prior surgery (please select). Y/N |                                | Describe whether biopsy, partial resection, or full surgical resection |  |                  |                |          |
| Date of surgery (DD/MM/YYYY)       |                                |  |  |                  |                |          |
| No.                                | Drugs/<br>Radiation<br>Therapy | Dose   | Treatment Start<br>Date (DD/MM/<br>YYYY) | No. of<br>Cycles | Adverse Events | Response |
| 1                                  |                                |  |  |                  |                |          |
| 2                                  |                                |  |  |                  |                |          |
| 3                                  |                                |  |  |                  |                |          |
| 4                                  |                                |  |  |                  |                |          |
| 5                                  |                                |  |  |                  |                |          |
| 6                                  |                                |  |  |                  |                |          |
| OTHER TREATMENT HISTORY:           |                                |  |  |                  |                |          |

| LATEST LABORATORY VALUES:          |       |      |       |                                  |
|------------------------------------|-------|------|-------|----------------------------------|
| Measurement                        | Value | Unit | Range | Date of Measurement (YYYY/MM/DD) |
| <b>Complete Blood Count (CBC):</b> |       |      |       |                                  |
| Red Blood Cell Count               |       |      |       |                                  |
| White Blood Cell Count             |       |      |       |                                  |
| Hemoglobin                         |       |      |       |                                  |
| Hematocrit                         |       |      |       |                                  |
| Platelet Count                     |       |      |       |                                  |
| Neutrophils                        |       |      |       |                                  |
| <b>Liver Function Tests:</b>       |       |      |       |                                  |
| Bilirubin                          |       |      |       |                                  |
| <b>Kidney Function Tests:</b>      |       |      |       |                                  |
| Creatinine - Blood                 |       |      |       |                                  |
| Creatinine Clearance               |       |      |       |                                  |

|                                  |
|----------------------------------|
| <b>MRI RECORD:</b>               |
| Date of MRI (DD/MM/YYYY):        |
| <b>OTHER MEDICAL CONDITIONS:</b> |

| TREATING PHYSICIAN INFORMATION                                      |              |           |
|---|--------------|-----------|
| First Name:   | Last Name:   |           |
| Physician Degree(s):  |              |           |
| Institution:  | Title:       |           |
| License (State/Province/Country):                                   | Expiry Date: |           |
| Board Certification (Type):   | Expiry Date: |           |
| Address:  |              |           |
| City:   | State:       | ZIP Code: |
| Office Phone:   | Email:       |           |
| Preferred form of Contact: (Office phone/ Office fax/ Email/ Other) |              |           |

| INSTITUTION OFFICIAL (Signatory e.g. for CDA) |                    |
|---|--------------------|
| Contact First Name:                           | Contact Last Name: |
| Job Title:                                    |                    |
| Office Phone:                                 | Email:             |

| SURGEON CONTACT INFORMATION (tissue collection required for manufacturing)    |              |           |
|---|--------------|-----------|
| Tick to indicate if tissue for this request has already been provided to ERC. |              |           |
| First Name:   | Last Name:   |           |
| Physician Degree(s):  |              |           |
| Institution:  | Title:       |           |
| License (State/Province/Country):   | Expiry Date: |           |
| Board Certification (Type):   | Expiry Date: |           |
| Address:  |              |           |
| City:   | State:       | ZIP Code: |
| Office Phone:   | Email:       |           |

| INSTITUTIONAL ETHICS COMMITTEE - IEC/IRB               |                        |  |
|--|------------------------|--|
| Site willing to use a central IRB? Y N                 |                        |  |
| Independent IRBs accepted (please list, if applicable) |                        |  |
| Local IEC/IRB Information                              |                        |  |
| IEC/IRB Name:  |                        |  |
| IRB Contact First Name:                                | IRB Contact Last Name: |  |
| Office Phone:  | Email:                 |  |

| HEALTH PRACTITIONER SUBMITTING REQUEST (person submitting the request, if different from treating physician)  |            |  |
|---|------------|--|
| If submitting on behalf of a treating physician, the health practitioner must ensure that they have a valid order for the unapproved good and they understand the clinical context in which the goods will be used. |            |  |
| First Name:   | Last Name: |  |
| Office Phone:   | Email:     |  |
| Clinical Qualification:   |            |  |

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|--------------------------|--------------|
| Institution:             | Title:       |
| License (if applicable): | Expiry Date: |

|   |            |
|---|------------|
| <b>PRIMARY PATIENT COORDINATOR</b><br>(person responsible for patient services, including: Enrolment, reimbursement and coordination of product administration) |            |
| First Name:   | Last Name: |
| Office Phone:   | Email:     |

|   |            |
|---|------------|
| <b>PRIMARY PHARMACY CONTACT</b><br>(person responsible for ordering and inventory management) |            |
| First Name:   | Last Name: |
| Office Phone:   | Email:     |

Once the form is completed, please submit the form to [medicalaffairs@erc-immunotherapy.com](mailto:medicalaffairs@erc-immunotherapy.com) .

**IMPORTANT NOTICE**

When emailing this form, please do not cc any other email addresses as this form contains patient information.